PTO/SR/14 (08.05) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Panerwork Reduction Act of 1995, no necessary are required to respond to a collection of information unless if contains a valid OMR control number

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	SCH-16772		
		Application Number			
Title of Invention	ANTIBODY FOR DIAGNOSING AND TREATING NEUROPSYCHIATRIC DISEASES, IN PARTICULAR SCHIZOPHRENIA, DEPRESSION AND BIPOLAR AFFECTIVE DISORDERS				
The application data sheet is part of the provisional or nonnewisional application for which it is been submitted. The following form contains the					

nal or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76 This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application,

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only, Applications that fall under Secrecy Order may not be filed electronically.)

Appli	cai	nt Inform	ation:									
Applic	ant	1									Remove	
Applic	ant	Authority (	Inventor	Ore	egal F	Representativ	e unde	r 35 L	J.S.C. 11	7	Party of Interest under 35 U.	S.C. 118
Prefix	Gi	ven Name			Т	Middle Na	me			Fami	ly Name	Suffi
	Carsten Korth											
Resid	enc	e Informatio	n (Select	One)	0	JS Residenc	у (	) No	n US Re	sidency	Active US Military Servi	ре
City	Du	sseldorf			Cou	intry Of Re	esiden	cei	DE			
Citize	nshi	p under 37 C	FR 1.41(l	b) i	DE							
Mailin	g A	ddress of Ap	plicant:									
Addre	ss 1		Furstern	wall 57								
Addre	ss 2	!										
City		Dusseldorf State/Province										
Postal	Postal Code 40219 Country DE											
Applicant 2 Remove												
		Authority @	Inventor	OL	egal F	Representativ	e unde	r 35 l	J.S.C. 11	7	Party of Interest under 35 U.	S.C. 118
Prefix Given Name Middle Name Family Name					Suffi							
s			$^{+}$	Rutger		Lefiveld						
Residence Information (Select One) US Residency Non US Residency Active US Military Service						DB DB						
City Dusseldorf Country Of Residencei DE												
Citizenship under 37 CFR 1.41(b)   NL												
Mailing Address of Applicant:												
Address 1 Bilker Allee 126												
Address 2												
City	_	Dusseldorf						State	e/Provir	ice	T	
Postal	Postal Code 40217 Country   DE											
All Inv		ors Must Be				Inventor dd button.	Informa	ition	blocks	may b	e Add	

## Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below.

For further information see 37 CFR 1.33(a).

An Address is being provided for the correspondence Information of this application.

Remove Email

Approved for use through 07/31/2005. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are requ d to respond to a collection of information unless it contains a valid OMB control number Attorney Docket Number

Application Number ANTIBODY FOR DIAGNOSING AND TREATING NEUROPSYCHIATRIC DISEASES, IN PARTICULAR

SCHIZOPHRENIA, DEPRESSION AND BIPOLAR AFFECTIVE DISORDERS

Application Data Sheet 37 CFR 1.76

40854

spaw@rankinhill.com

Title of Invention

Customer Number

Application Information:

Email Address

SCH-16772

Add Email

Title of the Invention	ANTIBODY FOR DIAGNOSING AND TREATING NEUROPSYCHIATRIC DISEASES, IN PARTICULAR SCHIZOPHRENIA, DEPRESSION AND BIPOLAR AFFECTIVE DISORDERS						
Attorney Docket Number	SCH-16772 Small Entity Status Claimed						
Application Type	Nonprovisional						
Subject Matter	Utility						
Suggested Class (if any)			Sub Class (if any)				
Suggested Technology	Center (if any)						
Total Number of Drawing	Sheets (if any)	7	Suggested Figure 1	for Publication (if any)	8		
Publication Information:							
Request Early Public	ation (Fee required	at time of Re	quest 37 CFR 1.219)				
	should be provided		oners having a power of att		Providing		
Enter either Customer	Number or com	plete the	Representative Name sec entative Information during pro-	tion below. If both	sections		
Please Select One:	<ul> <li>Customer Numb</li> </ul>	er O U	S Patent Practitioner	US Representative (37 CFF	11.9)		
Customer Number	40854						
	plicant to claim benef utes the specific refer	rence required	i.C. 119(e), 120, 121, or 365(c) by 35 U.S.C. 119(e) or 120, ar				
(-),	e made part of the sp	edilication.					
Prior Application Status			Prior Application Number	Remove Siling Date (VVVV	R 1.78(a)		
(-),	Continuit	у Туре	Prior Application Number		R 1.78(a)		

the Add button.

Foreign Priority Information:

PTO/88/14 (08/05)

Approved for use through 07/31/2005. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if contains a valid OMB control number

Application Data Sheet 37 CFR 1.76			Attorney L	Jocket Number	SCH-16/72	
Application ba	ita Olic	161 37 CI IX 1.70	Applicatio	n Number		
Title of Invention		ODY FOR DIAGNOSII OPHRENIA, DEPRES			SYCHIATRIC DISEASES, VE DISORDERS	IN PARTICULAR
	g this inf				any prior foreign applicati laim for priority as require	d by 35 U.S.C. 119(b)
					R	emove
Application Nur	mber	Countr	y i	Parent Filing D	Date (YYYY-MM-DD)	Priority Claimed
10 2004 007 462.3		DE		2004-02-13		● Yes ○ No
Additional Foreign Add button.	Priority	Data may be genera	ited within t	his form by selec	cting the	Add
Assignee Info	rmati	on:				
Providing this information	ation in ti	ne application data she	et does not s	ubstitute for compli	ance with any requiremen	t of part 3 of Title 37

	n in the application data sheet do ssignment recorded in the Office.	es not substitute for compliance	with any requirement of part 3 of Title 37
Assignee 1			Remove
If the Assignee is an C	Organization check here.	✓	
Organization Name	Heinrich-Heine-Universitat Du	sseldorf	
Mailing Address Info	rmation:		
Address 1	Universitatsstrasse 1		
Address 2			
City	Dusseldorf	State/Province	
Country   DE		Postal Code	40225
Phone Number		Fax Number	
Email Address			
Additional Assignee E	Data may be generated within	this form by selecting the A	dd Add

# Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature. Date (YYYY-MM-DD) 2006-08-11 Signature /iab/ First Name Last Name Balazs 47401 James A. Registration Number

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### Privacy Act Statement

The Privacy Act of 1974 (P. 9. 36-379) inequases that jour to given certain information is connection with jour submission of the attracted from related to a potent application of post application of post and post application of the information is 30 U.S.C. (20)(2); (2) Intrinsiting of the information is solded in such post application post post in which the information is used by the U.S. Patient and Traderess Office on postess and post application of post in 1900 post of post application of post in 1900 post application of post in 1900 post in 1900 post application of post in 1900 post in 1900 post in 1900 post in 1900 post application of post in 1900 post application of post in 1900 post in

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552), and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement recordations.
- A moord in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record perfains, when the inclividual has requested assistance from the Member with respect to the subject matter of the record.
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Ammisstator, General Services, or hisher designed, using an inspection of records conducted by GSA as part of that apercy's repostedlike to recommend improvements in recommend improvements in recommend in provine management practices and programs, under authority of 44 U.S.C. 2004 and 2006. Such disclosuse shall be made in accordance with the CSA regulations, potenting impaction of records for the proposal, and any other researd (i.e., CSA or Commerce) directive. Such the CSA regulations of potenting impaction of records for the proposal, and any other researd (i.e., CSA or Commerce) directive.
- 8. A moord from this system of records may be disclosed, as a routine use, b the public after either publication of the application parameter to 30 till \$6. C195 per season or of partner parameter 50 till \$6. C195. Further, a record may be disclosed, subject to the inflations of 37 CRT 114, as a routine use, to the public if the record was tilled in an application which became abundanced or in which the proceedings were thermated and within applications in effective or in second transfer or in a supplication on the public importance to or in second.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.